



Express Mailing Label No. EV 028 947 443 US

PATENT APPLICATION  
Docket: 11527.355

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
)  
Khemani et al. )  
)  
Serial No.: 10/087,718 )  
)  
Confirmation No.: 7476 )  
)  
Filed: March 1, 2002 )  
)  
For: BIODEGRADABLE FILMS AND SHEETS )  
SUITABLE FOR USE AS COATINGS, WRAPS )  
AND PACKAGING MATERIALS )


CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label No.: EV 028 947 443 US

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 28<sup>th</sup> day of August, 2002:

- Transmittal (2 pgs., in duplicate)
- Supplemental Information Disclosure Statement (2 pgs.)
- PTO Form 1449 Listing all cited references
- Legible copies of four cited references
- Postcard

Respectfully submitted,

  
JOHN M. GYNN  
Attorney for Applicant  
Registration No. 36,153

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EXPRESS MAIL LABEL NO.: EV 028 947 443 US

PATENT APPLICATION

Docket No.: 11527.355



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		)
		)
	Khemani et al.	)
		)
Serial No.:	10/087,718	) Art Unit
		) 1711
Filed:	March 1, 2002	)
		)
Confirmation No.:	7476	)
		)
For:	BIODEGRADABLE FILMS AND SHEETS	)
	SUITABLE FOR USE AS COATINGS, WRAPS	)
	AND PACKAGING MATERIALS	)
		)
Examiner:	Unassigned	)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

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Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 20<sup>th</sup> day of August, 2002

Respectfully submitted,



JOHN M. GYNN  
Attorney for Applicant  
Registration No. 36,153



**022913**

PATENT TRADEMARK OFFICE

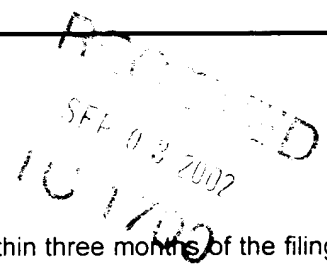
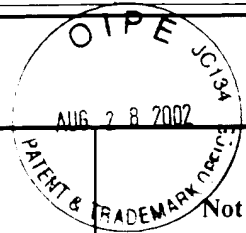
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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 11527.355	
In Re Application Of: Khemani et al.				
Serial No. 10/087,718		Filing Date March 1, 2002		Examiner Not Yet Assigned
				Group Art Unit 1711
Title: Biodegradable Films and Sheets Suitable For Use As Coatings, Wraps and Packaging Materials				
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11527.355

In Re Application: Khemani et al.

Serial No.  
10/087,718

Filing Date  
March 1, 2002

Examiner  
Not Yet Assigned

Group Art Unit  
1711

Biodegradable Films and Sheets Suitable For Use As Coatings, Wraps and Packaging Materials

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
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(Date)

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\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

  
Signature

Dated: August 28, 2002

JOHN M. GUYN

Registration No. 36,153

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
**11527.355**

In Re Application Of: **Khemani et al.**

AUG 1 8 2002

Serial No.  
**10/087,718**

Filing Date  
**March 1, 2002**

Examiner  
**Not Yet Assigned**

Group Art Unit  
**1711**

Title: **Biodegradable Films and Sheets Suitable For Use As Coatings, Wraps and Packaging Materials**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11527.355

In Re Application: **Khemani et al.**

Serial No.  
10/087,718

Filing Date  
March 1, 2002

Examiner  
Not Yet Assigned

Group Art Unit  
1711

**Biodegradable Films and Sheets Suitable For Use As Coatings, Wraps and Packaging Materials**

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- ☐ A check in the amount of \_\_\_\_\_ is attached.
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\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

  
\_\_\_\_\_  
Signature

**JOHN M. GYNN**

Registration No. 36,153

Dated: August 28, 2002

CC:

EXPRESS MAIL LABEL NO.: EV 028 947 443 US

Form PTO-1449

Sheet 2 of 3

Applicant: Khemani et al.

Serial No.: 10/087,718

Att'y Docket No.: 11527.355

Filing Date: March 1, 2002

Group: 1711

Confirmation No.: 7476

For: BIODEGRADABLE FILMS AND SHEETS SUITABLE FOR USE AS  
COATINGS, WRAPS AND PACKAGING MATERIALS

Examiner

Initial\*

NONE

RE  
SEP 03 2002  
TC

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LABEL NO.: EV 028 947 443 US

Form PTO-1449

Sheet 3 of 3

Applicant: Khemani et al.

Serial No.: 10/087.718

Att'y Docket No.: 11527.355

Filing Date: March 1, 2002

Group: 1711

Confirmation No.: 7476

For: BIODEGRADABLE FILMS AND SHEETS SUITABLE FOR USE AS  
COATINGS, WRAPS AND PACKAGING MATERIALS

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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